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FILE #: 20241/0203481-USODATE: 8/10/06NUMBER TRANSMITTING TO: 571-273-0459RECIPIENT: PCT Legal DeptCOMPANY: Patent & Trademarks OfficeFROM: F. Lynn Barrison

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10 AUG 2006

Legal Staff  
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Date

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Signature

Customer No.: 07278

Docket No.: 20241/0203481-US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Nobuo Mochizuki et. al.

Serial No.: 10/553,108

Filed: October 13, 2005

For: PHENYLAZOLE COMPOUND, PRODUCTION PROCESS THEREFOR AND ANTIOXIDANT

SECOND REQUEST FOR CORRECTED FILING RECEIPT

Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

☒ incorrectly entered

and/or

☐ omitted.

**Error in****Correct data**

- ☐ Applicant's name
- ☒ Applicant's address

Nobuo Mochizuki, Odawara-shi, Japan  
Nobuhiro Umeda, Odawara-shi, Japan  
Seiichi Uchida, Naka-gun, Japan  
Seiichi Ikeyama, Yokohama-shi, Japan  
Shiro Tsubokura, Odawara-shi, Japan  
Mitsumasa Takada, Naka-gun, Japan

- ☐ Title
- ☐ Filing Date
- ☐ Application Number
- ☐ Foreign/PCT Application Re:
- ☐ Other

**Remarks:**

Enclosed please find a copy of the Executed Declaration.

The Commissioner is respectfully requested to issue a new and correct Filing Receipt.

Respectfully submitted,

Dated: August 9, 2006



---

Flynn Barrison  
Reg. No. 53,970  
Agent for Applicant(s)

DARBY & DARBY P.C.  
P.O. Box 5257  
New York, New York 10150-5257  
212-527-7700



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/553,108	10/13/2005	1614	900	20241/0203481-US0		13	3

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NEW YORK, NY 10150-5257

CONFIRMATION NO. 8647

## CORRECTED FILING RECEIPT



\*OC000000019196914\*

Date Mailed: 06/09/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Nobuo Mochizuki, Odawara-shi, JAPAN;  
Nobuhiro Umeda, Odawara-shi, JAPAN;  
Seiichi Uchida, Odawara-shi, JAPAN;  
Seiichi Ikeyama, Odawara-shi, JAPAN;  
Shiro Tsubokura, Odawara-shi, JAPAN;  
Mitsumasa Takada, Odawara-shi, JAPAN;

## Assignment For Published Patent Application

Nippon Soda Co., Ltd., Tokyo, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 7278.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/05237 04/13/2004

## Foreign Applications

JAPAN 2003-109667 04/14/2003

JAPAN 2004-023032 01/30/2004

If Required, Foreign Filing License Granted: 05/12/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/553,108**

Projected Publication Date: 08/24/2006

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

Phenylazole compound, production process therefor and antioxidant

**Preliminary Class**

514

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Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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PTO/SB/106 (05-00)

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## Declaration and Power of Attorney for Patent Application

## 特許出願宣言書及び委任状

## Japanese Language Declaration

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私は、以下に記名された発明者として、ここに下記の通り宣言する：

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先として同様は、私の氏名の後に記載された通りである。

My residence, post office address, and citizenship are as stated next to my name.

下記の名称の発明について、特許請求範囲に記載され、且つ特許が求められている発明主題に因して、私は、最初、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ共に発明者である（複数の氏名が記載されている場合）と信じている。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

PHENYLAZOLE COMPOUND, PRODUCTION  
PROCESS THEREFOR AND ANTIOXIDANT

上記発明の明細書はここに添付されているが、下記の箱がチェックされている場合は、この限りでない：

the specification of which is attached hereto unless the following box is checked:

☐の日に出版され、  
この出願の米国出願番号またはPCT国際出願番号は、☒was filed on April 13, 2004  
as United States Application Number or  
PCT International Application Number

であり、且つ

PCT/JP2004/005237 and was amended on

の日に修正された出版（該当する場合）

(if applicable).

私は、上記の修正欄によって修正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

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Priority Not Claimed

優先権を主張なし

## Prior Foreign Application(s)

外国での発行出願

P2003-109667 (Number) (番号)	Japan (Country) (国名)	April 14, 2003 (Day/Month/Year Filed) (出願日/月/年)	<input type="checkbox"/>
P2004-023032 (Number) (番号)	Japan (Country) (国名)	January 30, 2004 (Day/Month/Year Filed) (出願日/月/年)	<input type="checkbox"/>

私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編119条(a)項の利益を主張する。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.) (出願番号)	(Filing Date) (出願日)
(Application No.) (出願番号)	(Filing Date) (出願日)

私は、ここに、下記のいかなる米国出願についても、その米国法典第35編第120条に基づく利益を主張し、又その指定するいかなるPCT国際出願についても、その同第365条(a)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が、米国法典第35編第112条第1段に規定された態様で、先行する米国出願又はPCT国際出願に開示されていない場合においては、その先行出願の出願日と本国内出願日またはPCT国際出願日との間の期間内に入行された特許で、互排排他性同第37編規則1.56に定義された特許性に関わる重要な情報について開示義務があることを承認する。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Application No.) (出願番号)	(Filing Date) (出願日)	(Status Patented, Pending, Abandoned) (現況: 特許許可、係属中、放棄)
(Application No.) (出願番号)	(Filing Date) (出願日)	(Status Patented, Pending, Abandoned) (現況: 特許許可、係属中、放棄)

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## Direct Telephone Calls to: (name and telephone number)

Joseph R. Robinson (212) 527-7783

## Direct Telephone Calls to: (name and telephone number)

Joseph R. Robinson (212) 527-7783

唯一または第一発明者氏名	Full name of sole or first inventor Nobuo MACHIZUKI
発明者の署名 日付	Inventor's signature Date Oct. 3, 2005 <i>Nobuo Machizuki</i>
住所	Residence Odawara-shi, Japan
国籍	Citizenship Japan
郵便の宛先	Post Office Address c/o Odawara Research Center, Nippon Soda Co., Ltd., 345, Takada, Odawara-shi, Kanagawa-ken, Japan

第二共同発明者がいる場合、その氏名	Full name of second joint inventor, if any Nobuhiro UMEDA
第二共同発明者の署名 日付	Second inventor's signature Date Oct. 3, 2005 <i>Nobuhiro Umeda</i>
住所	Residence Odawara-shi, Japan
国籍	Citizenship Japan
郵便の宛先	Post Office Address c/o Odawara Research Center, Nippon Soda Co., Ltd., 345, Takada, Odawara-shi, Kanagawa-ken, Japan

(第三以下の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)

(FSE 694 OSP-19191  
US 5/5

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Joseph R. Robinson (212) 527-7783

## Direct Telephone Calls to: (name and telephone number)

Joseph R. Robinson (212) 527-7783

第一または第二発明者氏名	Full name of third inventor Seiichi UCHIDA
発明者の署名 日付	Third Inventor's signature Date <i>Seiichi Uchida</i> Oct. 3, 2005
住所	Residence Naka-gun, Japan
国籍	Citizenship Japan
郵便の宛先	Post Office Address c/o Odawara Research Center, Nippon Soda Co., Ltd., 345, Takada, Odawara-shi, Kanagawa-ken, Japan

第二共同発明者がいる場合、その氏名	Full name of fourth joint inventor, if any Seiichi IKEYAMA
第二共同発明者の署名 日付	Fourth inventor's signature Date <i>Seiichi Ikeyama</i> Oct. 3, 2005
住所	Residence Yokohama-shi, Japan
国籍	Citizenship Japan
郵便の宛先	Post Office Address c/o Odawara Research Center, Nippon Soda Co., Ltd., 345, Takada, Odawara-shi, Kanagawa-ken, Japan

(第三以下の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)

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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number).

Practitioners associated with Customer Number 07278:

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New York, New York 10150-5257

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**DARBY & DARBY P.C.**  
P.O. Box 5257  
New York, New York 10150-5257

**直通電話連絡先: (氏名及び電話番号)**

Joseph R. Robinson (212) 527-7783

**Direct Telephone Calls to: (name and telephone number)**

Joseph R. Robinson (212) 527-7783

第一または第一発明者氏名	Full name of fifth inventor <b>Shiro TSUBOKURA</b>
発明者の署名 日付	Fifth Inventor's signature Date <i>Shiro Tsubokura</i> Oct. 3, 2005
住所	Residence <b>Odawara-shi, Japan</b>
国籍	Citizenship <b>Japan</b>
郵便の宛先	Post Office Address <b>c/o Odawara Research Center, Nippon Soda Co., Ltd., 345, Takada, Odawara-shi, Kanagawa-ken, Japan</b>

第二共同発明者がある場合、その氏名	Full name of sixth joint inventor, if any <b>Mitsumasa TAKADA</b>
第二共同発明者の署名 日付	Sixth inventor's signature Date <i>Mitsumasa Takada</i> Oct. 3, 2005
住所	Residence <b>Naka-gun, Japan</b>
国籍	Citizenship <b>Japan</b>
郵便の宛先	Post Office Address <b>c/o Odawara Research Center, Nippon Soda Co., Ltd., 345, Takada, Odawara-shi, Kanagawa-ken, Japan</b>

(第三以下の共同発明者についても同様に記載し、署名をすること)

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